

ESTTA Tracking number: **ESTTA442593**

Filing date: **11/22/2011**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

## Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

### Opposer Information

Name	The Nasdaq OMX Group, Inc.		
Entity	Corporation	Citizenship	Delaware
Address	One Liberty Plaza 165 Broadway New York, NY 10006 UNITED STATES		

Correspondence information	Laura T. Geyer Akin Gump Strauss Hauer & Feld 1333 New Hampshire Ave NW Washington, DC 20036-1564 UNITED STATES lgeyer@akingump.com Phone:202-887-4103
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### Applicant Information

Application No	85341237	Publication date	10/25/2011
Opposition Filing Date	11/22/2011	Opposition Period Ends	11/24/2011
Applicant	METDAQ, LLC 2221 N.E. 164th Street, Ste. 371 North Miami Beach, FL 33160 UNITED STATES		

### Goods/Services Affected by Opposition

Class 035. All goods and services in the class are opposed, namely: On-line trading services in which seller posts products to be auctioned and bidding is done via the Internet
Class 036. All goods and services in the class are opposed, namely: Electronic financial trading services; On-line real-time currency trading; On-line trading of financial instruments, shares, options and other derivative products; On-line trading of options on the options exchange market; Securities trading and investing services for others via the internet
Class 042. All goods and services in the class are opposed, namely: Platform as a service (PAAS) featuring computer software platforms for the trading of metals

### Grounds for Opposition

Priority and likelihood of confusion	Trademark Act section 2(d)
Dilution	Trademark Act section 43(c)

### Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	922973	Application Date	09/14/1970
Registration Date	10/26/1971	Foreign Priority Date	NONE
Word Mark	NASDAQ		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class U101 (International Class 035). First use: First Use: 1968/05/27 First Use In Commerce: 1968/05/27 LISTING OF SECURITIES FOR QUOTATION FOR SALE OR INFORMATION PURPOSES		

U.S. Registration No.	1259277	Application Date	09/15/1982
Registration Date	11/29/1983	Foreign Priority Date	NONE
Word Mark	NASDAQ		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class 009. First use: First Use: 1971/02/00 First Use In Commerce: 1971/02/00 [Closed Circuit Apparatus for Generation and Dissemination of Securities Information, and Closed Circuit CRT Terminals for Display of Securities Information and Computer Programs for Access to Securities Information]</p> <p>Class 016. First use: First Use: 1971/02/00 First Use In Commerce: 1971/02/00 Newspaper Sections, Newspapers, Newsletters, Magazine Sections and Magazines Dealing with Securities, Securities Markets, Securities Market Makers, Stock Indices, National List of Securities, National Market System List of Securities, Specific and Composite Securities Indices, Performance and Comparative Securities Indices, Securities Trading Volume Indices, Margin Securities Indices, Securities Indices Meeting a Given Tier Criteria</p> <p>Class 035. First use: First Use: 1971/02/00 First Use In Commerce: 1971/02/00 Gathering, Tabulating and Supplying Securities Information, Quotations, Data, Indices, and Listings to Subscribers</p> <p>Class 036. First use: First Use: 1971/02/00 First Use In Commerce: 1971/02/00 Electronic Securities Information Retrieval Services, Computerized Securities Surveillance Services, Securities Brokerage Services, Securities Indices Services, and Securities Analyzing Services</p> <p>Class 038. First use: First Use: 1971/02/00 First Use In Commerce: 1971/02/00 Closed Circuit Communication and Communications Networks for Transmission of Securities Information, Quotation, Data, and Indices</p>		

Attachments	Metdaq Notice of Opposition.pdf ( 9 pages )(326722 bytes ) Metdaq Annex to Notice of Opposition.pdf ( 58 pages )(3879186 bytes )
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## Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/Laura Talley Geyer/
Name	Laura T. Geyer
Date	11/22/2011

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

THE NASDAQ OMX GROUP, INC.,	:	
	:	
Opposer,	:	
	:	
v.	:	Opposition No. _____
	:	
METDAQ, LLC,	:	
	:	
Applicant.	:	

**NOTICE OF OPPOSITION**

In the matter of service mark Application Serial No. 85/341,237 for the mark METDAQ and Design, filed June 8, 2011, and published for opposition in the *Official Gazette* of October 25, 2011:

The Nasdaq OMX Group, Inc., a corporation organized and existing under the laws of the State of Delaware with a place of business at One Liberty Plaza, 165 Broadway, New York, New York 10006, believes that it will be damaged if a registration for the above application is issued and hereby opposes registration of said service mark.

The grounds for the opposition are as follows:

1. Opposer, The Nasdaq OMX Group, Inc., by itself and through predecessor(s)-in-interest (collectively, "Nasdaq OMX", unless otherwise indicated), has for many years developed, operated, and maintained securities markets, and has provided a variety of products and services ancillary thereto. Nasdaq OMX operates trading venues for several different types of assets, including equities, derivatives, debt, commodities, structured products, and exchange-traded funds. Nasdaq OMX also offers customized securities administration solutions, such as back-office systems to financial participants, and it develops rules and regulations for the

securities exchanges it operates, conducts regulatory reviews of members' business activities, and designs and provides a wide variety of marketplace services and facilities. Nasdaq OMX supports the technical operations of approximately 70 securities exchanges, clearing organizations, and central securities depositories. In the United States, Nasdaq OMX developed, owns and operates The Nasdaq Stock Market. Information regarding Nasdaq OMX's business is attached as **Annex A**.

2. The Nasdaq Stock Market is the largest electronic equity securities trading market in the United States. With nearly 3000 listed companies, it lists more companies and, on average, trades more shares per day than any other U.S. market. The Nasdaq Stock Market lists companies that are leaders across all areas of business, including technology, retail, industry, communications, financial services, transportation, media, and biotechnology.

3. Since 2008, Nasdaq OMX has published and maintained the "NASDAQ OMX Global Gold & Precious Metals Index (QGLD)" and "NASDAQ OMX Global Steel Index (QSTL)". These indexes are modified market-capitalization weighted indexes designed to track the performance of the largest and most liquid companies engaged in the gold, silver, and other precious metals mining industries and the performance of the largest and most liquid companies engaged in the manufacturing and storage of iron and steel products, respectively. Information regarding Nasdaq OMX's Global Gold & Precious Metals and Global Steel Indexes attached as **Annex B**.

4. Stock listings for the Nasdaq OMX's markets/exchanges appear daily in newspapers and other publications in print and electronic media throughout the United States, and around the world. Nasdaq OMX provides a wide range of information and news regarding

securities and investments, including “Trader Alerts” and other publications, to the general public, including on its web sites, including at [www.nasdaq.com](http://www.nasdaq.com), [www.nasdaqomx.com](http://www.nasdaqomx.com), and [www.nasdaqtrader.com](http://www.nasdaqtrader.com). Nasdaq OMX provides information regarding commodities trading, including current news, and other topics of general interest. Millions of people throughout the world visit Nasdaq OMX’s web sites daily and such sites are some of the world’s most popular financial websites receiving tens of millions of “hits” every day.

5. Nasdaq OMX, through its website and numerous other information sources, provides up-to-date information for commodities, including for gold, silver, copper, platinum, and palladium. See information regarding Nasdaq OMX’s metals commodities information services attached as **Annex C**.

6. Nasdaq OMX has used NASDAQ as a mark and a component of marks (collectively, the “NASDAQ Mark”) in connection with operating securities markets, providing a technological platform for the electronic trading of securities, and providing a variety of content-based services and other services ancillary thereto continuously in United States commerce since 1968. Nasdaq OMX has used the NASDAQ Mark for securities indices continuously in United States commerce since 1968. Nasdaq OMX and The Nasdaq Stock Market have become so well-known in the United States that they are commonly referred to as “Nasdaq” by members of the general public as well as by members of the financial industry and the media.

7. Nasdaq OMX’s investment in promoting the NASDAQ Mark has been and continues to be substantial. Nasdaq OMX has spent hundreds of millions of dollars promoting the NASDAQ Mark, including through television, sporting, educational and philanthropic event

sponsorship, print and electronic media, and on the Internet. See representative material attached hereto as **Annex D**.

8. “NASDAQ” is registered by Nasdaq OMX in the U.S. Patent and Trademark Office. Attached at **Annex E** are true and accurate copies of the certificates of registration for U.S. Registration Nos. 922,973 and 1,259,277 for “NASDAQ.” Such registrations are valid, subsisting, incontestable, and renewed.

9. The NASDAQ Mark has become a famous mark in the U.S. and around the world. The NASDAQ Mark has been adjudicated to be a famous mark in the U.S. and in other countries. The Trademark Trial and Appeal Board of the United States Patent and Trademark Office determined that the mark NASDAQ is famous under the U.S. Anti-Dilution Act (*Nasdaq Stock Market Inc. v. Antartica S.r.l.*, 69 U.S.P.Q.2d 1718 (T.T.A.B. 2003)).

10. “Nasdaq” is a coined term with no descriptive or generic significance, and is thus inherently distinctive.

11. By virtue of the continuous and substantially exclusive and extensive use in commerce of Nasdaq OMX’s NASDAQ Mark, and the commercial success of the products and services provided under such mark, such mark has come to have significance in the mind of members of the financial industry, the media, advertisers and the general public as an indicator of products and services originating with, sponsored by, or otherwise associated with Nasdaq OMX, and no other person or entity. The NASDAQ Mark has become synonymous with high-quality and reliable financial information, services, and products originating from Nasdaq OMX, exclusively.

12. Metdaq, LLC (“Applicant”) is seeking to register the mark METDAQ and Design for use in connection with online securities trading and investing services, *inter alia*: “On-line trading services in which seller posts products to be auctioned and bidding is done via the Internet,” in International Class 35; “Electronic financial trading services; On-line real-time currency trading; On-line trading of financial instruments, shares, options and other derivative products; On-line trading of options on the options exchange market; Securities trading and investing services for others via the internet,” in International Class 36; and “Platform as a service (PAAS) featuring computer software platforms for the trading of metals,” in International Class 42 (“Applicant’s Services”), as evidenced by the publication of said mark in the *Official Gazette* of October 25, 2011.

13. Applicant's METDAQ and Design mark as applied to Applicant’s Services so resembles Nasdaq OMX’s NASDAQ Mark as applied to Nasdaq OMX’s goods and services that it is likely to cause confusion, mistake and/or deception in the relevant public.

14. Both marks comprise two syllables and share the same distinctive “-DAQ” suffix. Neither mark has a denotative meaning. As applied to Applicant’s Services, Applicant’s mark directly suggests a “**Nasdaq** market directed to **metals**.” The respective marks, when viewed as a whole, are similar in sound, appearance, and connotation, resulting in an overall confusingly similar commercial impression.

15. Nasdaq OMX’s date of first use of the NASDAQ Mark, the filing date of Nasdaq OMX’s applications for NASDAQ which issued as Registration Nos. 922,973 and 1,259,277, as well as the date of issuance of such registrations long predate the filing date of the application herein opposed. Priority is not in issue.



16. Nasdaq OMX's NASDAQ Mark became a famous mark long prior to the filing date of the application herein opposed.

17. Applicant's Services and Nasdaq OMX's goods and services under the NASDAQ Mark overlap and are otherwise commercially related. Nasdaq OMX provides and Metdaq intends to provide online financial trading services and security trading and investment services under the marks at issue. Both parties provide a technological platform for the execution of financial transactions under the marks at issue.

18. Furthermore, based on the description of services in the application herein opposed, the parties' goods/services would be promoted and sold to overlapping classes of purchasers and end users, including businesses, investors, traders and financial institutions, through the same and similar channels of trade. Both parties promote their goods and services under the marks at issue over the Internet.

19. If Applicant is permitted to register the METDAQ mark for the services set forth in the application opposed herein, confusion of the relevant public is likely to result, such confusion resulting in damage and injury to Nasdaq OMX.

20. Purchasers, potential purchasers, and/or users, on seeing Applicant's METDAQ mark used in connection with Applicant's Services, would be likely to believe in error that such services are provided by or in affiliation with, or are sponsored, licensed or approved by Nasdaq OMX. In light of the distinctive and coined nature of the NASDAQ Mark, including the "-DAQ" portion thereof, and the fame of such mark, there can be no reason for Applicant's adoption of the METDAQ mark for its services other than to reap the benefit of the investment

Nasdaq OMX has made in the NASDAQ Mark and the resulting enormous goodwill and public recognition of the term.

21. Any persons familiar with the goods and services of Nasdaq OMX would be likely to perceive Applicant's Services offered under the METDAQ mark as services of Nasdaq OMX, an extension of Nasdaq OMX's varied services, or as services sponsored by or approved by Nasdaq OMX. Furthermore, any defect, objection to or fault found with Applicant's Services provided under the METDAQ mark would necessarily reflect on and seriously injure the reputation that Nasdaq OMX has established under its NASDAQ Mark for over forty (40) years.

22. Applicant's adoption of the mark METDAQ, on information and belief, is an attempt to trade on the famous NASDAQ Mark.

23. Use of Applicant's METDAQ mark for Applicant's Services is likely to dilute Nasdaq OMX's federally-registered NASDAQ Mark by blurring and/or tarnishment, in violation of 15 U.S.C. § 1125(c)(1), thus injuring Nasdaq OMX.

24. Applicant's use of the METDAQ mark for Applicant's Services would erode and diminish the goodwill Nasdaq OMX has long enjoyed in its NASDAQ Mark, services, and products. If Applicant is permitted to register the METDAQ mark for the services set forth in the application, Nasdaq OMX's famous NASDAQ Mark will likely be diluted and eroded, resulting in damage and injury to Nasdaq OMX.

25. If Applicant were granted a registration for the mark herein opposed, it would obtain thereby at least a *prima facie* exclusive right to use the mark. Such registration would be a source of damage and injury to Nasdaq OMX and its business and customers.

WHEREFORE, Nasdaq OMX prays that registration of the mark of Application No. 85/341,237 be refused and that this opposition be sustained.

The required filing fee of \$900.00 for the present Notice of Opposition is being submitted. Please charge any deficiency or credit any overpayment to our Attorneys' Deposit Account No. 50-2310.

Respectfully submitted

AKIN GUMP STRAUSS HAUER & FELD LLP

Date: November 22, 2011

By: \_\_\_\_\_

Karol A. Kepchar, Esq.

David C. Lee, Esq.

Laura T. Geyer, Esq.

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Washington, DC 20036-1564

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kkepchar@akingump.com

ATTORNEYS FOR OPPOSER

THE NASDAQ OMX GROUP, INC.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

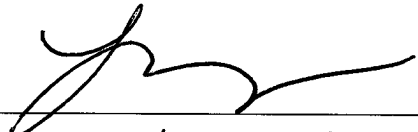
THE NASDAQ OMX GROUP, INC.,	:	
	:	
Opposer,	:	
	:	
v.	:	Opposition No. _____
	:	
METDAQ, LLC,	:	
	:	
Applicant.	:	

**CERTIFICATE OF SERVICE**

I hereby certify that the foregoing **NOTICE OF OPPOSITION** has been served via first class mail to the Applicant at the address set forth in the application records and by Email:

**METDAQ, LLC**  
**2221 N.E. 164th Street, Ste. 371**  
**North Miami Beach, FL 33160**  
**United States**  
**ceo@metdaq.com**

Date: November 22, 2011

  
\_\_\_\_\_  
Name: Laura Geyer

## **ANNEX A**

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Ticker: NDAQ  
Member of S&P 500  
CEO: Bob Greifeld since 2003

[Corporate Fact Sheet - International copy \(PDF, 71 kb\)](#)

[Corporate Fact Sheet - US copy \(PDF, 85 kb\)](#)

**We own and operate****24 markets****US markets**

The NASDAQ Stock Market  
NASDAQ Options Market  
NASDAQ OMX BX  
NASDAQ OMX Futures Exchange  
NASDAQ OMX PHLX  
NASDAQ OMX PSX

**European markets**

First North – 7 Markets  
(Copenhagen, Helsinki, Iceland, Riga,  
Stockholm, Tallinn, Vilnius)  
NASDAQ OMX Armenia  
NASDAQ OMX Commodities/Nord Pool  
NASDAQ OMX Copenhagen  
NASDAQ OMX Helsinki  
NASDAQ OMX Iceland  
NASDAQ OMX Nordic Derivatives Markets  
NASDAQ OMX Riga  
NASDAQ OMX Stockholm  
NASDAQ OMX Tallinn  
NASDAQ OMX Vilnius  
N2EX/UK

**3 Clearing houses**

IDCG central counterparty (CCP) clearing of OTC Interest Rate Derivatives  
NASDAQ OMX Commodities Clearing Corp  
NASDAQ OMX Stockholm

**5 Central securities depositories**

Armenia, Estonia, Iceland, Latvia, Lithuania

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Tel: 1 212 401 8700

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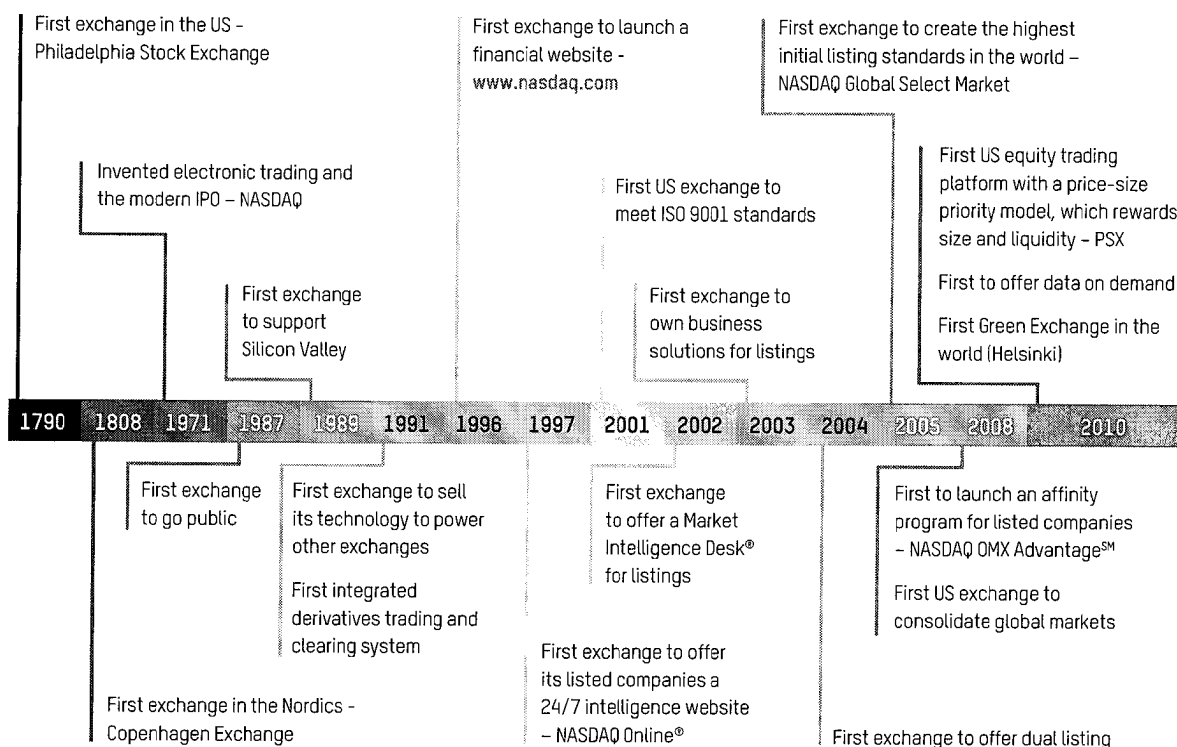
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26 locations spanning 17 countries  
CEO: Robert Greifeld since 2003

\* Source: 2009 McConn quantitative and qualitative study with over 200 CFOs and senior executives.



### Corporate Headquarters

[www.nasdaqomx.com](http://www.nasdaqomx.com)

**One Liberty Plaza** 165 Broadway New York, NY 10006 | +1 212 401 8700

**MarketSite** 4 Times Square New York, NY 10036



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**Acquisitions**

- 1998** Merger between OM and Stockholm Stock Exchange to form the OM Group
- 2000** Merger between Tallinn Stock Exchange and Estonian Central Depository to form TSE Group Joint Baltic
- 2001** Helsinki Stock Exchange acquires strategic ownership of TSE Group
- 2003** Merger between OM and Helsinki Stock Exchange, including Tallinn and Riga
- 2004** OMX acquires Vilnius Stock Exchange  
NASDAQ acquires Brut from SunGard Data Systems Inc.
- 2005** OMX merges with Copenhagen Stock Exchange  
NASDAQ acquires INET
- 2006** Computershare Markets Technology  
OMX merges with Iceland  
NASDAQ acquires Shareholder.com  
NASDAQ acquires PrimeZone (changes name to GlobeNewswire)
- 2007** OMX acquires Armenia Stock Exchange  
NASDAQ acquires OMX (changes name to NASDAQ OMX Group)  
NASDAQ OMX acquires Boston Stock Exchange (changes name to NASDAQ OMX BX)  
NASDAQ OMX acquires Philadelphia Stock Exchange (changes name to NASDAQ OMX PHLX)
- 2008** NASDAQ OMX acquires 80% of IDC  
NASDAQ OMX acquires 20% of Agora-X  
NASDAQ OMX acquires Bloom Partners
- 2010** NASDAQ OMX acquires Nord Pool ASA  
NASDAQ OMX acquires North American Energy Credit and Clearing Business  
NASDAQ OMX acquires SMARTS  
NASDAQ OMX acquires another 65% of Agora-X (raising its ownership to 85%)  
NASDAQ OMX acquires ZoomVision Marnato  
NASDAQ OMX acquires FTEN

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Tel: 1 212 401 8700

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New York, NY 10036

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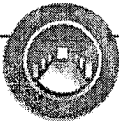
### Communications

Communicate and build relationships with stakeholders with our integrated suite of investor relations, public relations and corporate communications tools.



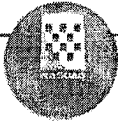
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Improve board communications and effectiveness with comprehensive, secure solutions designed to meet your specific needs.



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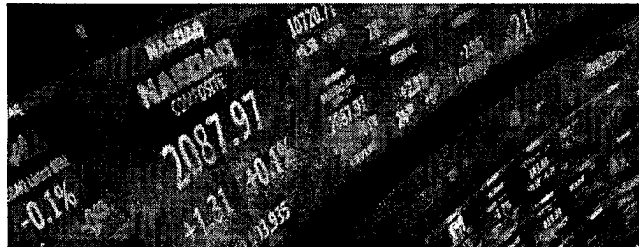
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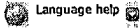
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Leveraging its premier brand and global market position, NASDAQ OMX specializes in providing full-scale, premium index services to financial products issuers, sponsors and investors. NASDAQ OMX is also helping to expand the trading and investment landscape with new opportunities in ETFs, ETNs, futures, options, structured products and other investments and derivative securities. To learn more, visit [Nasdaqomx.com/Indexes](http://Nasdaqomx.com/Indexes) and NASDAQ OMX Nordic.

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US Market Open

CAC 40 3264.34 23.44 ▲ 0.73% | NIKKEI 225 9057.26 -50.17 ▼ -0.55% | FTSE100 5331.6 -26.03 ▼ -0.49%

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NASDAQ Vol: 1,189,678,050

NASDAQ 2490.84 -32.61 ▼ 1.25%

NASDAQ 100 2161.82 -32.45 ▼ 1.48%

NASDAQ 100 PMI 2195.53 1.26 ▲ 0.08%

NASDAQ 100 AHI 2189.19 -5.08 ▼ 0.23%

DJIA 11337.21 -68.72 ▼ 0.60%

S&P 500 1188.02 -6.74 ▼ 0.57%

Russell 2000 701.28 -3.48 ▼ 0.49%

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Company	Last Sale	Change Net / %	Share Volume
PLAB Photonics, Inc.	\$ 7.30	1.68 ▲ 29.89%	3,201,724
CLWR Clearwire Corporation	\$ 2.15	0.32 ▲ 17.49%	8,122,431
MKTX MarketAxess Holdings, Inc.	\$ 27.90	3.85 ▲ 16.01%	1,226,966
NTSP NetScout Systems, Inc.	\$ 5.10	0.61 ▲ 13.59%	420,014
KNDI Kand Technologies, Corp.	\$ 2.51	0.29 ▲ 13.06%	88,469

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Most Rated Stocks of the Last Week

Rated stocks for NASDAQ, NYSE and AMEX

Symbol	Ratings Consensus	Rate It
AAPL	85% bullish of 395 ratings	Rate It
GOOG	86% bullish of 459 ratings	Rate It
BIDU	78% bullish of 27 ratings	Rate It



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## THE NASDAQ OMX GROUP

The NASDAQ Stock Market (NASDAQ) trades more U.S. equities than any other U.S. exchange. As an automatic execution venue, NASDAQ increases your speed of execution, ensuring your quote updates and orders are processed nearly instantaneously. NASDAQ is renowned for its high performance INET technology and has proven reliability with 99.99+% uptime. Firms count on NASDAQ for unsurpassed speed and tested capacity to execute trades quickly and efficiently. In addition, NASDAQ's value-added products and services provide the complete package for your U.S. equity trading.

---

### Features and Functionality

NASDAQ features a price/time priority model where the execution logic is fair and transparent for all market participants. All displayed limit orders are treated equally and executed in the order in which they were received at the same price. Non-displayed shares are executed after displayed shares in the order in which they were received at that price.

NASDAQ offers popular orders and functionality such as the Mid-Point Peg and Post Only orders, Self Match Prevention and Order Modify functionality, a robust suite of innovative routing strategies and our award-winning opening and closing crosses.

---

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Firms can opt to connect to NASDAQ via one of several connectivity options:

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To order ports, please complete the [NASDAQ Port Request Form](#) and contact [NASDAQ OMX Subscriber Services](#) with questions at +1 212 231 5180.

---

### Market Data

NASDAQ OMX Global Data Products is focused on creating innovative data products that provide unsurpassed market transparency to institutional, retail and individual investors. Product offerings include real-time data feeds, web-based reports and plug-and-play technology for instant access to market data.

#### Real-Time Data Feeds

For firms that demand the lowest latency products, NASDAQ OMX offers a variety of direct access data feed products for equities from its NASDAQ market center system including:

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Please refer to the U.S. [Direct connectivity](#) or [extranet providers](#) list for the access options to the NASDAQ OMX data centers. Please refer to the [Data Products Specifications page](#) for technical interface information for NASDAQ direct data feed products.

#### Web-Based Products

We have an extensive offering of interactive web applications, including inbound data entry interfaces as well as graphical display products to ease customer technology requirements and allow the information to reach the end-customers more quickly. For more information about our web-based product offerings, please visit the [Direct Web Products page](#).

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NASDAQ OMX offers a variety of reports via a secured website and secured FTP server giving customers an opportunity to increase profits through unsurpassed market transparency. For more information about our report product offerings, please visit the [Reports page](#).

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Source: The NASDAQ OMX Group, Inc.

Date: August 18, 2008 10:07 ET

## NASDAQ OMX Introduces Four New Global Indexes

### New Benchmarks for Biotechnology, Coal, Steel and Precious Metals

NEW YORK, Aug. 18, 2008 (GLOBE NEWSWIRE) -- The NASDAQ OMX Group, Inc. (Nasdaq:NDAQ) announced the introduction today of a new series of global indexes designed to benchmark the performance of some of the world's most dynamic sectors. These new indexes are a continuation of NASDAQ OMX's commitment to designing and calculating world-class indexes. The NASDAQ OMX Global Sector Index Series is the latest series of indexes launched by NASDAQ OMX Global Financial Products (GFP).

The Global Sector Series is initially focusing on the following four sectors:

The NASDAQ OMX Global Biotechnology Index(sm) (Nasdaq:QGBI) is a modified market-capitalization weighted index designed to track the performance of the largest and most liquid companies engaged in the biotechnology sector;

The NASDAQ OMX Global Coal Index(sm) (Nasdaq:QCOL) is a modified market-capitalization weighted index designed to track the performance of the largest and most liquid companies engaged in the exploration for, mining of and other related activities for coal;

The NASDAQ OMX Global Steel Index(sm) (Nasdaq:QSTL) is a modified market-capitalization weighted index designed to track the performance of the largest and most liquid companies engaged in the manufacturing and storage of iron and steel products; and

The NASDAQ OMX Global Gold & Precious Metals Index(sm) (Nasdaq:QGLD) is a modified market-capitalization weighted index designed to track the performance of the largest and most liquid companies engaged in the gold, silver and other precious metals mining industries.

"These new NASDAQ OMX indexes will help international investors better manage their exposure to these increasingly important global sectors," said NASDAQ OMX Executive Vice President John Jacobs. "Their introduction underscores our commitment to providing investors with relevant, objective rules-based indexes."

The indexes will be calculated in real-time across the combined exchanges and will be disseminated in dollars. The indexes will begin calculating on August 18, 2008 with a value of 250.00.

#### About NASDAQ OMX Global Financial Products

NASDAQ OMX Global Financial Products (GFP) is engaged in the design, development, calculation, licensing, and marketing of NASDAQ OMX indexes. GFP specializes in the development of indexes focusing on NASDAQ OMX's brand themes of innovation, technology, growth, and globalization. GFP also provides custom index services and design solutions as a third-party provider to selected financial organizations. For more information about NASDAQ OMX indexes, visit [www.nasdaq.com/indexes](http://www.nasdaq.com/indexes).

#### About NASDAQ OMX

The NASDAQ OMX Group, Inc. is the world's broadest and most diverse exchange company. It delivers trading, exchange technology, and public company services across six continents, and with over 3,900 companies, it is number one in worldwide listings among major markets. NASDAQ OMX offers multiple capital raising solutions to companies around the globe, including its U.S. listings market; the OMX Nordic Exchange, including First North; and the 144A PORTAL Market. The company offers trading across multiple asset classes including equities, derivatives, debt, commodities, structured products, and ETFs. NASDAQ OMX technology supports the operations of over 70 exchanges, clearing organizations and central securities depositories in more than 50 countries. OMX Nordic Exchange is not a legal entity but describes the common offering from Nasdaq OMX exchanges in Helsinki, Copenhagen, Stockholm, Iceland, Tallinn, Riga, and Vilnius. For more information about NASDAQ OMX, visit [www.nasdaqomx.com](http://www.nasdaqomx.com).

#### Cautionary Note Regarding Forward-Looking Statements

The matters described herein may contain forward-looking statements that are made under the Safe Harbor provisions of the Private Securities Litigation Reform Act of 1995. These statements include, but are not limited to, statements about the NASDAQ OMX Global Biotechnology Index, the NASDAQ OMX Global Coal Index, the NASDAQ OMX Global Steel Index, the NASDAQ OMX Global Gold & Precious Metals Index, and NASDAQ OMX's other products and offerings. We caution that these statements are not guarantees of future performance. Actual results may differ materially from those expressed or implied in the forward-looking statements. Forward-

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MAP Pharmaceuticals, Inc. (MAPP) to Ring The NASDAQ Stock Market Opening Bell - Nov 15, 2011 15:07 ET

Mercury Computer Systems (MRCY) to Ring The NASDAQ Stock Market Closing Bell - Nov 15, 2011 10:20 ET

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#### Stock Quote

Symbol: **NDAQ**  
 Last Trade: **26.010 (15:14 ET)**  
 Change: **-0.630 (-2.3649%)**  
 Day's Range: **25.95 - 26.30**  
 Open: **26.15**  
 Previous Close: **26.64**  
 TSO: **177,178,000**  
 Market Cap: **4.61B**  
 Day's Volume: **1,437,584**

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annual report on Form 10-K, and periodic reports filed with the U.S. Securities and Exchange Commission. We undertake no obligation to release any revisions to any forward-looking statements.

The NASDAQ OMX Global Coal Index, the NASDAQ OMX Global Biotechnology Index, the NASDAQ OMX Global Steel Index, and the NASDAQ OMX Global Gold & Precious Metals Index ("Indexes") are the exclusive property of The NASDAQ OMX Group, Inc., and with its affiliates, is collectively "NASDAQ OMX". NASDAQ OMX has contracted with Standard & Poor's ("S&P") to calculate and maintain the Indexes. S&P shall have no liability for any errors or omissions in calculating the Indexes.

#### NDAQG

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## NASDAQ OMX

### QGLD NASDAQ OMX Gbl Gold & Precious Metals

11/16/2011 3:26:30 PM

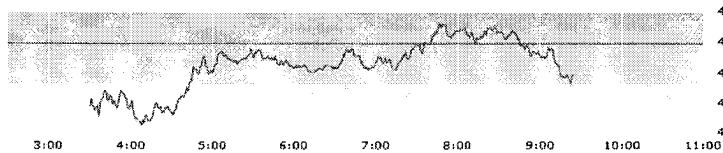
404.55	Previous Close	406.94	Today's High	408.34
-2.59 (-0.59%)	Base Value	250.00	Today's Low	401.48

Overview History Weighting Industry Breakdown Corp Actions Calendar Corp Actions Report

#### Methodology | QGLD Index Data Analysis

The NASDAQ OMX Global Gold & Precious Metals Index is a modified market capitalization weighted index designed to track the performance of the largest and most liquid companies engaged in the gold, silver, and other precious metals mining industries.

On August 18, 2008, the NASDAQ Global Gold & Precious Metals Index began with a base value of 250.00.



#### NASDAQ OMX Global Gold & Precious Metals

##### U.S.-Listed ETFs

Name	PowerShares Global Gold & Precious Metals Portfolio
Symbol	PSAU
Sponsor	PowerShares

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- ☐ PHLX Other
- ☐ US All Market
- ☐ US Benchmark
- ☐ US Large
- ☐ US Mid
- ☐ US Small
- ☐ Sectors
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## **NASDAQ OMX Global Gold & Precious Metals Index Methodology**

### ***Index Description***

The NASDAQ OMX Global Gold & Precious Metals Index is designed to track the performance of the largest and most liquid companies engaged in the gold, silver and other precious metals mining industries.

### ***Index Calculation***

The NASDAQ OMX Global Gold & Precious Metals Index is a modified market-capitalization weighted index. The value of the Index equals the aggregate value of the Index share weights, also known as the Index Shares, of each of the Index Securities multiplied by each such security's Last Sale Price<sup>1</sup>, and divided by the divisor of the Index. The divisor serves the purpose of scaling such aggregate value to a lower order of magnitude which is more desirable for reporting purposes. The Index began on August 18, 2008 at a base value of 250.00.

The formula for index value is as follows:

Aggregate Adjusted Market Value/Divisor

The formula for the divisor is as follows:

(Market Value after Adjustments/Market Value before Adjustments) X Divisor before Adjustments

Two versions of the Index are calculated – a price return index and a total return index. The price return index (NASDAQ: QGLD) is ordinarily calculated without regard to cash dividends on Index Securities. The total return index (NASDAQ: QGLX) reinvests cash dividends on the ex-date. Both Indexes reinvest extraordinary cash distributions.

The Index is calculated while the primary listing market of any Index Security is open. If the primary listing market of an Index Security is closed, the Index Security will remain at the Last Sale Price. Additionally, if trading in an Index Security is halted on its primary listing market, the last traded price for that security is used for all index computations until trading resumes. If trading is halted before the market is open, the previous day's Last Sale Price is used.

The Index is disseminated every 15 seconds from 09:30:15 to 17:16:00 ET. The closing value of the Index may change up until 17:15:00 ET due to corrections to the Last Sale Price of the Index Securities.

---

<sup>1</sup> For purposes of this document, Last Sale Price refers to the following:

For a security listed on NASDAQ, it is the last sale price on NASDAQ, which normally would be the Nasdaq Official Closing Price (NOCP) when NASDAQ is closed. For any other security, it is the last regular way trade reported on such security's primary listing market. If a security does not trade on its primary listing market on a given day or the primary listing market has not yet opened for trading, the most recent last sale price from the primary listing market (adjusted for corporate actions, if any) is used.

## **Eligibility**

Index eligibility is limited to specific security types only. The security types eligible for the Index include common stocks, ordinary shares, depositary receipts, shares of beneficial interest or limited partnership interests and tracking stocks. Security types not included in the Index are closed-end funds, convertible debentures, exchange traded funds, preferred stocks, rights, warrants, units and other derivative securities.

## **Eligibility Criteria**

To be included in the Index, a security must meet the following criteria:

- the issuer of the security must be classified as engaged in the gold, silver and other precious metals mining sub-industries under GICS codes 15104030 and 15104040;
- the security must be listed on an index- eligible global stock exchange;
- one security per issuer is permitted<sup>2</sup>;
- the security must have a minimum float-adjusted worldwide market capitalization of \$500 million; and
- the security must have a minimum three-month average daily dollar trading volume of \$1 million.

## **Index-Eligible Global Stock Exchanges**

Index-eligible global stock exchanges include all exchanges except those exchanges in the countries listed below unless otherwise noted.

Bahrain	Nigeria	Slovenia
China *	Oman	Sri Lanka
Egypt	Poland	UAE
India	Qatar	United States**
Jordan	Romania	Vietnam
Kenya	Russia	Zambia
Kuwait	Saudi Arabia	
Lebanon	Slovak Republic	

\*Applies only to A-Shares

\*\*Applies only to the OTCBB

If a security is listed on an exchange in a country listed above but is also listed on an exchange in an eligible country that meets the size and liquidity requirements the security in the eligible country will be considered for Index inclusion.

## **Index Evaluation**

The Index Securities are evaluated annually in September. In the evaluation, the above Eligibility Criteria are applied using market data through the end of August. Securities meeting the criteria are included in the Index. Security additions and deletions are made effective after the close of trading on the third Friday in September.

---

<sup>2</sup> If an issuer has multiple securities, the security with the highest dollar trading volume will be selected for possible inclusion into the Index.

Additionally, if at any time during the year other than the Evaluation, an Index Security no longer meets the Eligibility Criteria, or is otherwise determined to have become ineligible for inclusion in the Index, the security is removed from the Index and is not replaced. Furthermore, any Index Security that reaches its foreign investment limit between quarterly rebalances is removed from the Index. Ordinarily, a security will be removed from the Index at its Last Sale Price. If, however, at the time of its removal the Index Security is halted from trading on its Index Market and an official closing price cannot readily be determined, the Index Security may, in NASDAQ's discretion, be removed at a zero price. The zero price will be applied to the Index Security after the close of the market but prior to the time the official closing value of the Index is disseminated, which is ordinarily 17:16:00 ET.

### ***Index Maintenance***

Changes in the price and/or Index Shares driven by corporate events such as stock dividends, stock splits and certain spin-offs and rights issuances are adjusted on the ex-date. If the change in total shares outstanding arising from other corporate actions is greater than or equal to 5.0%, the change is made as soon as practicable. Otherwise, if the change in total shares outstanding is less than 5%, then all such changes are accumulated and made effective at one time on a quarterly basis after the close of trading on the third Friday in March, June, September and December.

In the case of a special cash dividend, a determination is made on an individual basis as to whether to make a change to the price of an Index Security in accordance with its Index dividend policy. If it is determined that a change will be made, it will become effective on the ex-date.

Ordinarily, whenever there is a change in Index Shares, a change in an Index Security or a change to the price of an Index Security due to spin-off, rights issuances, or special cash dividends, the divisor is adjusted to ensure that there is no discontinuity in the value of the Index which might otherwise be caused by any such change.

### ***Index Rebalancing***

The Index employs a modified market capitalization-weighting methodology. At each quarter, the Index is rebalanced such that the maximum weight of any Index Security does not exceed 8% and no more than 5 securities are at that cap. The excess weight of any capped security is distributed proportionally across the remaining Index Securities. If after redistribution, any of the 5 highest ranked Index Securities are weighted below 8%, these securities are not capped. Next, any remaining Index Securities in excess of 4% are capped at 4% and the excess weight will be redistributed proportionally across the remaining Index Securities. The process is repeated, if necessary, to derive the final weights.

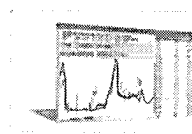
The modified market capitalization-weighting methodology is applied to the capitalization of each Index Security, using the Last Sale Price of the security at the close of trading on the last trading day in February, May, August and November and after applying quarterly changes to the total shares outstanding. Index Shares are then calculated multiplying the weight of the security derived above by the new market value of the Index and dividing the modified market capitalization for each Index Security by its corresponding Last Sale Price. The changes are effective after trading on the third Friday in March, June, September and December.



NASDAQ OMX may, from time to time, exercise reasonable discretion as it deems appropriate in order to ensure Index integrity.

NASDAQ OMX Global Gold & Precious Metals Index<sup>SM</sup> ("Index") is the exclusive property of The NASDAQ OMX Group, Inc. which, with its affiliates, is collectively "NASDAQ OMX". NASDAQ OMX has contracted with Standard & Poor's ("S&P") to calculate and maintain the NASDAQ OMX Global Gold & Precious Metals Index. S&P shall have no liability for any errors or omissions in calculating the Index.

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## NASDAQ OMX Global Steel Index (QSTL) Index Chart

NASDAQ OMX Global Steel Index  
QSTL

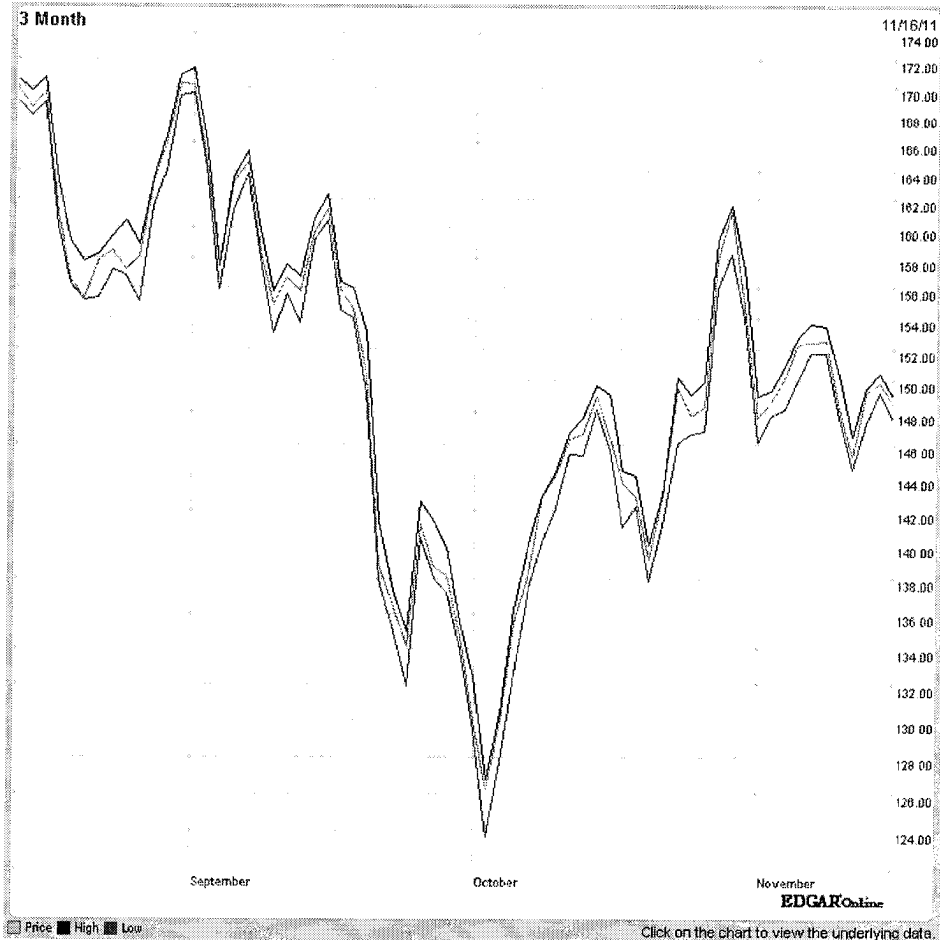
Index Value  
146.88

Net Change  
-1.68 ▼

High  
147.69

Low  
146.4

3 Month  
3 Month



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## **NASDAQ OMX Global Steel Index Methodology**

### ***Index Description***

The NASDAQ OMX Global Steel Index is designed to track the performance of the largest and most liquid companies engaged in the manufacturing and storage of iron and steel products.

### ***Index Calculation***

The NASDAQ OMX Global Steel Index is a modified market-capitalization weighted index. The value of the Index equals the aggregate value of the Index share weights, also known as the Index Shares, of each of the Index Securities multiplied by each such security's Last Sale Price<sup>1</sup>, and divided by the divisor of the Index. The divisor serves the purpose of scaling such aggregate value to a lower order of magnitude which is more desirable for reporting purposes. The Index began on August 18, 2008 at a base value of 250.00.

The formula for index value is as follows:

Aggregate Adjusted Market Value/Divisor

The formula for the divisor is as follows:

(Market Value after Adjustments/Market Value before Adjustments) X Divisor before Adjustments

Two versions of the Index are calculated – a price return index and a total return index. The price return index (NASDAQ: QSTL) is ordinarily calculated without regard to cash dividends on Index Securities. The total return index (NASDAQ: QSTS) reinvests cash dividends on the ex-date. Both Indexes reinvest extraordinary cash distributions.

The Index is calculated while the primary listing market of any Index Security is open. If the primary listing market of an Index Security is closed, the Index Security will remain at the Last Sale Price. Additionally, if trading in an Index Security is halted on its primary listing market, the last traded price for that security is used for all index computations until trading resumes. If trading is halted before the market is open, the previous day's Last Sale Price is used.

The Index is disseminated every 15 seconds from 09:30:15 to 17:16:00 ET. The closing value of the Index may change up until 17:15:00 ET due to corrections to the Last Sale Price of the Index Securities.

---

<sup>1</sup> For purposes of this document, Last Sale Price refers to the following:

For a security listed on NASDAQ, it is the last sale price on NASDAQ, which normally would be the Nasdaq Official Closing Price (NOCP) when NASDAQ is closed. For any other security, it is the last regular way trade reported on such security's primary listing market. If a security does not trade on its primary listing market on a given day or the primary listing market has not yet opened for trading, the most recent last sale price from the primary listing market (adjusted for corporate actions, if any) is used.

## **Eligibility**

Index eligibility is limited to specific security types only. The security types eligible for the Index include common stocks, ordinary shares, depositary receipts, shares of beneficial interest or limited partnership interests and tracking stocks. Security types not included in the Index are closed-end funds, convertible debentures, exchange traded funds, preferred stocks, rights, warrants, units and other derivative securities.

## **Eligibility Criteria**

To be included in the Index, a security must meet the following criteria:

- the issuer of the security must be classified as engaged in the manufacturing and storage of iron and steel products under GICS code 15104050;
- the security must be listed on an index-eligible global stock exchange;
- one security per issuer is permitted<sup>2</sup>;
- the security must have a minimum float-adjusted worldwide market capitalization of \$500 million; and
- the security must have a minimum three-month average daily dollar trading volume of \$1 million.

## **Index-Eligible Global Stock Exchanges**

Index-eligible global stock exchanges include all exchanges except those exchanges in the countries listed below unless otherwise noted.

Bahrain	Nigeria	Slovenia
China *	Oman	Sri Lanka
Egypt	Poland	UAE
India	Qatar	United States**
Jordan	Romania	Vietnam
Kenya	Russia	Zambia
Kuwait	Saudi Arabia	
Lebanon	Slovak Republic	

\*Applies only to A-Shares

\*\*Applies only to the OTCBB

If a security is listed on an exchange in a country listed above but is also listed on an exchange in an eligible country that meets the size and liquidity requirements the security in the eligible country will be considered for Index inclusion.

## **Index Evaluation**

The Index Securities are evaluated annually in September. In the evaluation, the above Eligibility Criteria are applied using market data through the end of August. Securities meeting the criteria are included in the Index. Security additions and deletions are made effective after the close of trading on the third Friday in September.

---

<sup>2</sup> If an issuer has multiple securities, the security with the highest dollar trading volume will be selected for possible inclusion into the Index.

Additionally, if at any time during the year other than the Evaluation, an Index Security no longer meets the Eligibility Criteria, or is otherwise determined to have become ineligible for inclusion in the Index, the security is removed from the Index and is not replaced.

Furthermore, any Index Security that reaches its foreign investment limit between quarterly rebalances is removed from the Index. Ordinarily, a security will be removed from the Index at its Last Sale Price. If, however, at the time of its removal the Index Security is halted from trading on its Index Market and an official closing price cannot readily be determined, the Index Security may, in NASDAQ's discretion, be removed at a zero price. The zero price will be applied to the Index Security after the close of the market but prior to the time the official closing value of the Index is disseminated, which is ordinarily 17:16:00 ET.

### ***Index Maintenance***

Changes in the price and/or Index Shares driven by corporate events such as stock dividends, stock splits and certain spin-offs and rights issuances are adjusted on the ex-date. If the change in total shares outstanding arising from other corporate actions is greater than or equal to 5.0%, the change is made as soon as practicable. Otherwise, if the change in total shares outstanding is less than 5%, then all such changes are accumulated and made effective at one time on a quarterly basis after the close of trading on the third Friday in March, June, September and December.

In the case of a special cash dividend, a determination is made on an individual basis as to whether to make a change to the price of an Index Security in accordance with its Index dividend policy. If it is determined that a change will be made, it will become effective on the ex-date.

Ordinarily, whenever there is a change in Index Shares, a change in an Index Security or a change to the price of an Index Security due to spin-off, rights issuances, or special cash dividends, the divisor is adjusted to ensure that there is no discontinuity in the value of the Index which might otherwise be caused by any such change.

### ***Index Rebalancing***

The Index employs a modified market capitalization-weighting methodology. At each quarter, the Index is rebalanced such that the maximum weight of any Index Security does not exceed 8% and no more than 5 securities are at that cap. The excess weight of any capped security is distributed proportionally across the remaining Index Securities. If after redistribution, any of the 5 highest ranked Index Securities are weighted below 8%, these securities are not capped. Next, any remaining Index Securities in excess of 4% are capped at 4% and the excess weight will be redistributed proportionally across the remaining Index Securities. The process is repeated, if necessary, to derive the final weights.

The modified market capitalization-weighting methodology is applied to the capitalization of each Index Security, using the Last Sale Price of the security at the close of trading on the last trading day in February, May, August and November and after applying quarterly changes to the total shares outstanding. Index Shares are then calculated multiplying the weight of the security derived above by the new market value of the Index and dividing the modified market capitalization for each Index Security by its corresponding Last Sale Price. The changes are effective after trading on the third Friday in March, June, September and December.

NASDAQ OMX may, from time to time, exercise reasonable discretion as it deems appropriate in order to ensure Index integrity.

NASDAQ OMX Global Steel Index<sup>SM</sup> ("Index") is the exclusive property of The NASDAQ OMX Group, Inc. which, with its affiliates, is collectively "NASDAQ OMX". NASDAQ OMX has contracted with Standard & Poor's ("S&P") to calculate and maintain the NASDAQ OMX Global Steel Index. S&P shall have no liability for any errors or omissions in calculating the Index.

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Palladium

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Crude Oil

Heating Oil

Gas

Natural Gas

Crude Oil Brent

Ethanol Futures

#### GRAINS

Wheat

Corn

Soybeans

Soybean Meal

Soybean Oil

Oats

Rice

Red Wheat

Spring Wheat

Canola

#### MEATS

Live Cattle

Feeder Cattle

Lean Hogs

Milk

#### SOFTS

Cotton

Orange Juice

Sugar

Coffee

Cocoa

Lumber

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Name	Last	Change	%Change	Today's High/Low	As of
NYMEX WTI Crude Oil	101.12	1.4700 ▼	-1.4329%	103.36 / 100.03	2011-11-17 10:13:50
COMEX Gold	1744.70	29.6000 ▼	-1.6683%	1768.00 / 1733.90	2011-11-17 10:13:52
NYMEX Natural Gas	3.391	0.0470 ▲	1.4055%	3.417 / 3.325	2011-11-17 10:13:52
COMEX Copper	3.4495	0.0535 ▼	-1.5273%	3.509 / 3.411	2011-11-17 10:12:45
NYMEX RBOB Gasoline	2.5588	0.0731 ▼	-2.7775%	2.6263 / 2.5506	2011-11-17 10:13:52
COMEX Silver	32.80	1.0220 ▼	-3.0217%	33.98 / 32.56	2011-11-17 10:13:50

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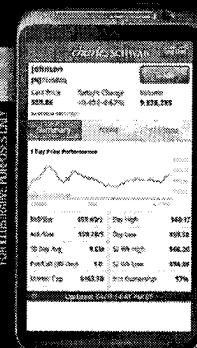
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Crude Oil

Heating Oil

Gas

Natural Gas

Crude Oil Brent

Ethanol Futures

## GRAINS

Wheat

Corn

Soybeans

Soybean Meal

Soybean Oil

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Red Wheat

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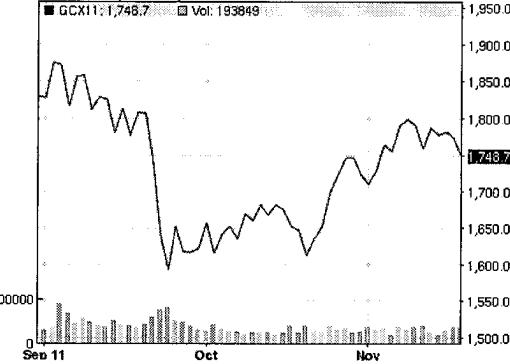
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Lean Hogs

Milk

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Orange Juice

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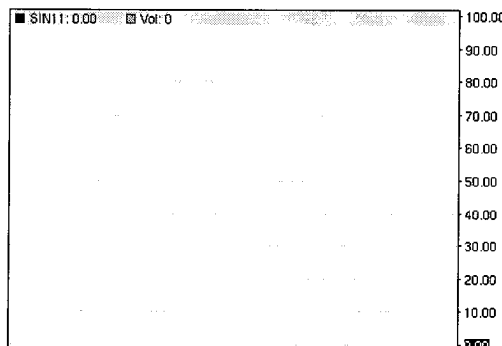
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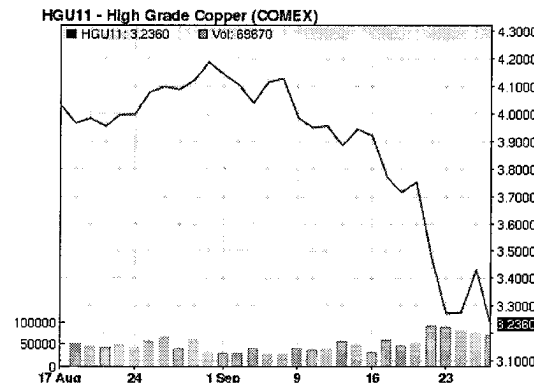
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Copper

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Crude Oil

Heating Oil

Gas

Natural Gas

Crude Oil Brent

Ethanol Futures

## GRAINS

Wheat

Corn

Soybeans

Soybean Meal

Soybean Oil

Oats

Rice

Red Wheat

Spring Wheat

Canola

## MEATS

Live Cattle

Feeder Cattle

Lean Hogs

Milk

## SOFTS

Cotton

Orange Juice

Sugar

Coffee

Cocoa

Lumber

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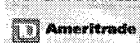
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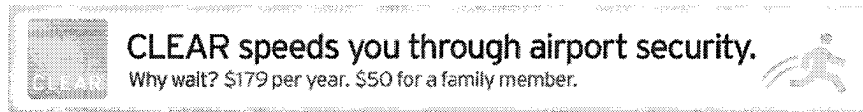
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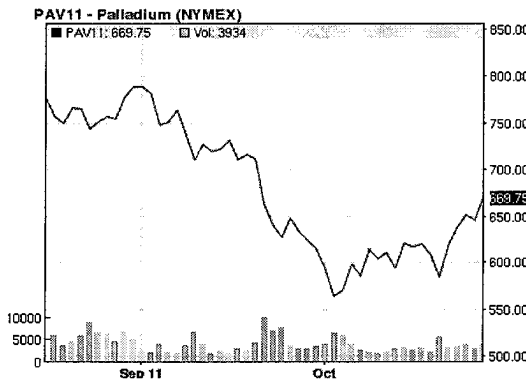
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Press Release



For Release: March 13, 2001  
Contact: Mark Gundersen, Nasdaq  
(202) 728-8197

Chris De Maria, Sanex WTA Tour  
(203) 353-3218

## **Nasdaq To Be North American Presenting Sponsor For Sanex WTA Tour**

**New York, NY and Stamford, CT**—The Nasdaq Stock Market® and the Sanex WTA Tour announced today that Nasdaq® has signed on as the North American Presenting Sponsor for the WTA Tour, effective immediately through December 31, 2001. The women's tennis sponsorship will include net post and sideline signage at 12 Sanex WTA Tour North American events, on-site promotional activities, and player appearances. Nasdaq signage will be present at this week's Tennis Master Series in Indian Wells, California.

"This is a great day for women's tennis," said Bart McGuire, Sanex WTA Tour's Chief Executive Officer. "This new agreement reflects the strength of women's tennis. In Nasdaq terms, women's tennis is the market leader in a growing market segment--women's sports. In fact, women's tennis is in the early stages of a long-term surge in competitiveness, popularity, television exposure, and print media coverage."

"This sponsorship agreement is a great fit for these two organizations. Women's tennis is growing with an energy that matches Nasdaq's and we're very excited about taking an active role in sponsoring the Sanex WTA Tour," said Wick Simmons, Chief Executive Officer of Nasdaq. "Tour players are highly competitive, sometimes combative, and always striving--just like the companies on our market. We both used to be considered upstarts and now have mounted irresistible challenges to the old order."

"This announcement comes at a great time," said McGuire. "Two weeks ago we began a series of tournaments in the United States in which our growing list of superstar athletes will be in the U.S. spotlight. World number one Martina Hingis, Venus and Serena Williams, Monica Seles and Lindsay Davenport, who captured last week's title in Scottsdale, will be challenged by Jennifer Capriati, fresh off her Australian Open title, and Amelie Mauresmo who has scored two straight titles at key events in France last month. Add to this our portfolio of rising stars, including Kim Clijsters, Justine Henin, Jelena Dokic, and 2000 U.S. Open

semifinalist Elena Dementieva, and the market forecast for women's tennis is very bullish."

The agreement is a joint effort between the Sanex WTA Tour and their marketing agency-The GEM Group, an Atlanta-based global experiential marketing communications firm. The GEM Group is the agency of record for brand development and sponsorship sales for the Sanex WTA Tour.

The Sanex WTA Tour is the world's premier professional sport for women with more than 1,000 players, representing 76 nations. In 2001, they will compete for more than \$50 million in prize money at 65 events in 33 countries worldwide. The Tour's season concludes with the Tour Championships of the Sanex WTA Tour at the Olympia Halle in Munich Germany October 30-November 4, 2001.

Sanex WTA Tour events are viewed across the globe, reaching hundreds of millions of households, and are immensely popular spectator events, attracting more than four million in 2000. Further information on the Tour can be found on the Internet at [www.sanexwta.com](http://www.sanexwta.com).

The Nasdaq Stock Market lists 4,700 companies, trades more shares per day and has more non-U.S. listings than any other American market, and has a larger average daily dollar volume than any market in the world. For more information about Nasdaq, visit the Nasdaq Web site at [www.nasdaq.com](http://www.nasdaq.com) or the Nasdaq Newsroom<sup>SM</sup> at [www.nasdaq.com/newsroom](http://www.nasdaq.com/newsroom).

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August 27, 2010

## NASDAQ OMX Official Sponsor of This Year's Annual Hampton Classic Horse Show

ADVISORY, Aug 27, 2010 (GlobeNewswire via COMTEX News Network) -- What:

NASDAQ OMX is the official 2010 sponsor of the annual Hampton Classic Horse Show, one of the largest outdoor horse shows in the United States.

The Classic, now in its third successful decade, will take place in Bridgehampton, New York from August 29 - September 5.

During the event, NASDAQ OMX will showcase excerpts from its "Dream It. Do It." campaign, which champions NASDAQ OMX as a transformative, forward-looking exchange company where innovation meets action.

The Hampton Classic is a premier New York event that attracts sponsorship from innovative companies worldwide that are committed to the growth of the local and global economy, including NASDAQ listed Bridgehampton National Bank (Nasdaq:BDGE) and Panera Bread (Nasdaq:PNRA).

As the world's largest exchange company and leading provider for financial market infrastructure around the world, NASDAQ OMX is a technology pioneer driving economic growth in a global economy. Nearly 1000 companies on the East Coast actively trade on The NASDAQ Stock Market and more than 3,600 globally. NASDAQ OMX is proud to be among some of the world's most widely recognized brands at such a prestigious New York event. The New York region alone accounts for approximately 21 percent of East Coast companies listed on NASDAQ.

Who: Bruce Aust, Executive Vice President, Corporate Client Group, NASDAQ OMX;

When: Sunday, September 5, 2010  
NASDAQ OMX - Media Opportunity and Photo-Op

Where: Bridgehampton, NY (off Montauk Highway, Route 27 -- at 240 Snake Hollow Road)

The Classic hosts exciting hunter/jumper competition from junior levels up to the pinnacle of the sport, grand prix show jumping, featuring the \$250,000 FTI Grand Prix and World Cup(TM) Qualifier on Sunday, September 5. For detailed information please visit [www.hamptonclassic.com](http://www.hamptonclassic.com).

### About NASDAQ OMX

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### NDAQG

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SOURCE: The NASDAQ OMX Group, Inc.

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## Educational Initiative

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The NASDAQ OMX Educational Foundation promotes educational projects, curricula and research that advance financial markets literacy. The NASDAQ OMX Nordic Foundation supports scientific research that enhances the prosperity of financial markets in Finland and Sweden, as well as other markets.

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April 8, 2003

## NASDAQ and The National Council on Economic Education Honor Excellence in Teaching

### Diane R. Neylan is Named The National Award Winner

**New York, N.Y.-** The NASDAQ Stock Market Educational Foundation, Inc. and the National Council on Economic Education (NCEE) today honor teachers across the country for fostering economic literacy throughout our nation's high schools. The NASDAQ National Teaching Awards dinner held April 7, 2003 celebrated teachers who have successfully introduced economics to students and innovative economic curriculums throughout our nation's high schools.

According to a new survey, to be released soon by the NCEE on economic and personal finance education, in 1998, 25 states required the testing of students' knowledge of economics. By 2002, that number increased marginally to 27 states with another four states currently developing tests. These statistics highlight the importance of associations such as the NCEE, which recognizes teachers around the country.

The Awards dinner was held yesterday at The Plaza Hotel in New York City and was hosted by NASDAQ's President and Deputy Chairman, Rick Ketchum along with a distinguished panel of judges. At the ceremony Diane R. Neylan of John F. Kennedy High School in Richmond, Va. was named The National Award Winner for her excellence in academic economic teaching. The judges included Al Berkeley, Vice Chairman, NASDAQ; Rick Ketchum, President, NASDAQ; Bob Duvall, CEO, National Council on Economic Education; Gary Stern, President of the Minnesota Federal Reserve and Board Chair of the National Council on Economic Education; Terry McGraw, CEO, McGraw-Hill; Leslie LaRoche, NASDAQ correspondent, CNBC; and, Sasha Salama, anchor and correspondent, CNNfn.

The NASDAQ National Teaching Awards also honored the five Regional Winners:

- Northeast - **Judith G. Heine**, Canton High School, Canton, Mass.
- Southeast - **Diane R. Neylan**, John F. Kennedy High School, Richmond, Va.
- Midwest - **William D. Mittlefehldt**, Anoka High School, Anoka, Minn.
- Southwest - **Cynthia L. Cardwell**, Lincoln Junior High School, Bentonville, Ark.
- West - **Joanne Hifumi Ringor**, Governor Wallace Rider Farrington High School, Honolulu, Hawaii

"Educating our nation's youth and future leaders on the basics of economics is as important as education in science, English and mathematics," said Richard Ketchum, President and Deputy Chairman, The NASDAQ Stock Market, Inc. "On behalf of NASDAQ, I'd like to personally commend the teachers recognized today for their dedicated efforts and encourage more teachers within our country to take part in economic literacy that will contribute to the future of our nation's economic backbone."

"It is important to recognize the efforts of America's teachers, as they are instrumental in shaping the future for all of us," said Robert Duvall, President & CEO of NCEE. "Through this program, we hope to instill a strong passion for learning about finance and economics among our nation's high school students. Nurturing future professionals who are enthusiastic about our economic cornerstones will benefit all members of the free enterprise system."

The national winner and southeast winner, Diane R. Neylan will be joined by the other regional winners to participate in the opening of the NASDAQ market this morning at the MarketSite in Times Square. The five Regional Winners received their cash prizes at yesterday's ceremony. Each regional winner was awarded \$10,000 each and an additional \$15,000 cash prize was given to the Grand National Award winner, Diane R. Neylan, bringing her grand prize award to a total of \$25,000. Each Regional Winner and his or her guest also received an all-expense paid trip to New York to attend yesterday's awards dinner. Additionally, 20 Semi-Finalists were awarded \$1,000 each.

Each submission received was forwarded to one of five regional centers for judging. From their respective field of entries, each regional judging panel, composed of finance and economics educators, chose four semi-finalists and a winner for their region. The criteria against which entries were judged included the following:

- Innovation and originality;
- How well it engaged students;
- Use of a variety of appropriate instructional techniques;
- How it meets and works in harmony with the national and state standards in economics as well as other subjects as applicable;
- How it fits into the classroom learning environment;
- The ease with which it can be adapted for use by other teachers;
- The effectiveness of the evaluation process; and
- How well results could be demonstrated.

The NASDAQ Stock Market Educational Foundation, Inc. is a non-profit organization that is engaged in promoting innovative thinking and learning about the role of capital formation and financial markets in a free enterprise system. Its goals are to further financial markets literacy, ensure new generations of well-informed investors and promote interest in financial careers. The Foundation is fully funded by The Nasdaq Stock Market, Inc.








NASDAQ (OTCBB:NDAQ) is the world's largest electronic stock market. With approximately 3,800 companies, NASDAQ lists more companies and trades more shares per day than any other U.S. market. Over the past five years, NASDAQ has outpaced all other U.S. markets in listing IPOs. It is home to category-defining companies that are leaders across all areas of business including technology, retail, communications, financial services, media and biotechnology industries. NASDAQ is a key driver of capital formation. For more information about The NASDAQ Stock Market, visit the NASDAQ Web site at [www.nasdaq.com](http://www.nasdaq.com) or the Nasdaq Newsroom<sup>SM</sup> at [www.nasdaq.com/newsroom](http://www.nasdaq.com/newsroom). For more information about the NASDAQ-100, visit [www.nasdaq-100.com](http://www.nasdaq-100.com).

NCEE is a non-profit organization that for more than 50 years has been helping students in grades Kindergarten through 12 develop economic ways of thinking and problem solving to prepare them to function effectively in the global economy. Through a nationwide network of State Councils and 250 university-based Centers for Economic Education, NCEE administers programs that each year reach approximately 120,000 teachers and over 7 million students in more than 70,000 schools. Through grants from the U.S. Department of Education, NCEE programs have also reached 1.2 million students in 20 countries in Central and Eastern Europe and the former Soviet Union.

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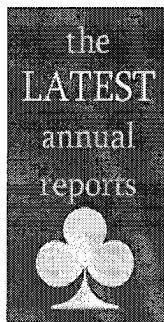
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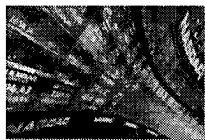
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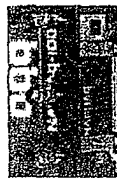
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## Roger Federer and Butch Buchholz Open NASDAQ Market

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The NASDAQ Stock Market opened for trading Thursday Aug. 25 with the best tennis player in the world presiding as Roger Federer got trading started to commemorate his 2005 NASDAQ-100 Open victory. NASDAQ-100 Open Chairman Butch Buchholz and NASDAQ Financial Products Services CEO John Jacobs also took part. Federer signed off on the start of trading at exactly 9:30 a.m. EDT.



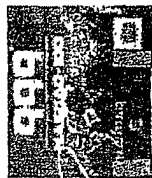
Roger Federer at the NASDAQ



**Roger Federer at NASDAQ**



## Butch at the NASDAQ



Roger Federer signed off on the start of trading at exactly 9:30 a.m. EDT.



## Roger Opens the Market



## Roger in Times Square

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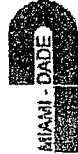
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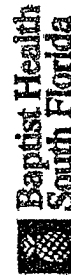
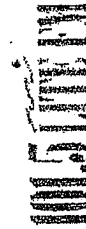
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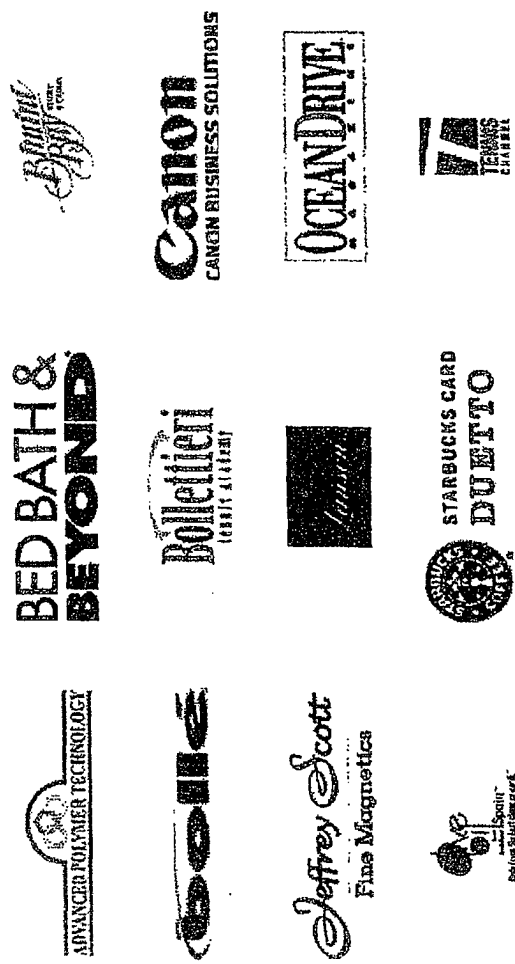


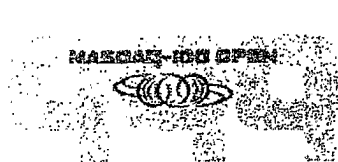
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### NASDAQ-100OPEN.COM

#### Tournament Staffer Serves on Red Cross Board September 15, 2005 10:28 AM ET



Six years ago, NASDAQ-100 Open Sponsor Sales Account Executive Mona Ignatz Adams was like most of the rest of us, attending the occasional charitable social event without really knowing where the money was going. Now she is the Vice-Chairperson of the Board of Directors of the Greater Miami and the Keys Chapter of the American Red Cross and also serves as Chairperson of Volunteers.

Mona explains how she got involved, and how the money you donate is really helping people in need.

Full Story

#### Common Champions

September 12, 2005 3:20 PM ET



For the first time in the 21 year history of the NASDAQ-100 Open, the top two tournaments in the United States have the same names on both the men's and women's singles trophies. Kim Clijsters and Roger Federer, NASDAQ-100 Open champions and US Open champions. Twice before, men have won both in the same year and seven times before a woman had won that impressive double, but 2005 marks the first time it's happened simultaneously.

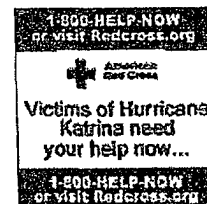
Full Story

#### IN THE NEWS

- Ticket Packages On Sale for 2006 NASDAQ-100 Open
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- Federer in Five - Roger Wins the Championship
- Kim Clijsters Charms Her Way to Title
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**CHAMPIONS HONOR ROLL****Singles**

	Men's	Women's
2005	Roger Federer	Kim Clijsters
2004	Andy Roddick	Serena Williams
2003	Andre Agassi	Serena Williams
2002	Andre Agassi	Serena Williams
2001	Andre Agassi	Venus Williams
2000	Pete Sampras	Martina Hingis
1999	Richard Krajicek	Venus Williams
1998	Marcelo Rios	Venus Williams
1997	Thomas Muster	Martina Hingis
1996	Andre Agassi	Steffi Graf
1995	Andre Agassi	Steffi Graf
1994	Pete Sampras	Steffi Graf
1993	Pete Sampras	Arantxa Sanchez-Vicario
1992	Michael Chang	Arantxa Sanchez-Vicario
1991	Jim Courier	Monica Seles
1990	Andre Agassi	Monica Seles
1989	Ivan Lendl	Gabriela Sabatini
1988	Mats Wilander	Steffi Graf
1987	Miloslav Mecir	Steffi Graf
1986	Ivan Lendl	Chris Evert
1985	Tim Mayotte	Martina Navratilova

**Doubles**

	Men's	Women's
2005	Jonas Bjorkman/Max Mirnyi	Svetlana Kuznetsova/Alicia Molik
2004	Wayne Black/Kevin Ullyett	Nadia Petrova/Meghann Shaughnessy
2003	Roger Federer/Max Mirnyi	Magdeleena Maleeva/Liesel Huber
2002	Mark Knowles/Daniel Nestor	Rennae Stubbs/Lisa Raymond
2001	Jiri Novak/David Rikl	Arantxa Sanchez Vicario/Nathalie Tauziat
2000	Todd Woodbridge/Mark Woodforde	Julie Halard-Decugis/Ai Sugiyama
1999	Wayne Black/Sandon Stolle	Martina Hingis/Jana Novotna
1998	Ellis Ferriera/Rick Leach	M. Hingis/J. Novotna
1997	T. Woodbridge/M. Woodforde	A. Sanchez Vicario/Natalia Zvereva
1996	T. Woodbridge/M. Woodforde	A. Sanchez Vicario/J. Novotna
1995	T. Woodbridge/M. Woodforde	A. Sanchez Vicario/J. Novotna
1994	Jacco Eltingh/Paul Haarhuis	Gigi Fernandez/Natalia Zvereva
1993	Richard Krajicek/Jan Siemerink	Larisa (Savchenko) Neiland/J. Novotna

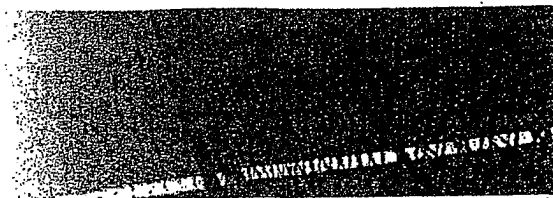
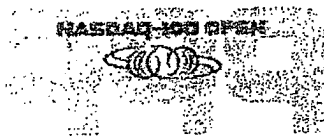
## 2006 NASDAQ-100 Open: History: Champions Honor Roll

Page 2 of 2

1992	Ken Flach/Todd Witsken	A. Sanchez Vicario/L. Savchenko
1991	Wayne Ferreira/Piet Norval	Mary Joe Fernandez/Zina Garrison
1990	R. Leach/Jim Pugh	Helena Sukova/J. Novotna
1989	Jacob Hlasek/Anders Jarryd	H. Sukova/J. Novotna
1988	John Fitzgerald/A. Jarryd	Steffi Graf/Gabriela Sabatini
1987	Paul Annacone/Christo Van Rensburg	M. Navratilova/P. Shriver
1986	Brad Gilbert/Vincent Van Patten	Pam Shriver/H. Sukova
1985	P. Annacone/C. Van Rensburg	G. Fernandez/M. Navratilova

### Mixed Doubles

1985 Heinz Gunthardt/Martina Navratilova



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### HISTORICAL BACKGROUND

The dream of an international tennis tournament in Miami began three decades ago, when top tennis players such as Jack Kramer, Frank Sedgman, Pancho Gonzalez, Pancho Segura and Butch Buchholz toured the country in a station wagon, playing tennis in darkened arenas and fairgrounds. It was before the days of Open tennis, and they traveled with a portable canvas court and plenty of hopes. Buchholz - an original member of the "Handsome Eight" (the first recognized pros of Lamar Hunt's World Championship Tennis Circuit introduced in 1968) - competed until he was forced to retire from tennis in 1970 with chronic tennis elbow.

In 1980, when Buchholz was executive director of the Association of Tennis Professionals (ATP) - the player's union - he met a vice president of the Thomas J. Lipton Company who liked his idea of creating a two-week players tournament. A sponsorship agreement would eventually be reached for \$1.5 million a year for five years and Lipton would own the title.

The "Winter Wimbledon," as it was first dubbed, would be the first major tournament of the year (the Australian Open was then held in December). It was decided that the first tournament would be held at Laver's International Tennis Resort in Delray Beach, 50 miles north of Miami. The following year, the tournament relocated to Boca Raton followed by a move to its permanent home in Miami in 1987. Buchholz approached the ATP and Women's Tennis Association and offered prize money, a percentage of the ticket sales and worldwide television rights. In return he wanted the rights to run the tournament for 15 years. The associations agreed.

In 1985, following 20 years of nurturing a dream to create a world-class tennis tournament, the first ball was struck at the International Players Championships and a new tradition in tennis began.

The first tournament turned out 84 of the top 100 men and 97 of the top 100 women. ESPN telecast the first weekend and the men's semifinals, and ABC telecast the finals live. Networks from Australia, England, France, Italy, Japan, Sweden and West Germany also were present.

Buchholz brought in Alan Mills, tournament referee at Wimbledon, as head referee, and Ted Tinling, a well-known tennis fashion designer since the 1920s, as director of protocol. The first match played was between fourth-seeded Manuela Maleeva and Angeliki Kanellopoulou, a 19-year old from Greece ranked 112th. The first champions were Tim Mayotte and Martina Navratilova; the women's final between Navratilova and Chris Evert was a sellout. The prize money of \$1.8 million was surpassed only by Wimbledon and the U.S. Open.

In the tournament's second year, signs of stability began rolling in as 43 of the top 50 men and 46 of the top 50 women entered. Shortly after, then Miami-Dade County Manager and WTA Executive Director, Merrett Stierheim, helped pave the way for a move to Miami, where a \$1 million, 10,000 square-foot clubhouse was built at the Tennis Center at Crandon Park in Miami in 1989 and plans for a permanent stadium were to be developed. In the process, the County faced a series of lawsuits attempting to prevent construction. The legal entanglement finally was resolved in November of 1992 and in 1994 the \$20 million stadium opened, which also serves as home to the USTA Player Development Program. That year also marked the tournament's 10th anniversary.

Now a 12-day event heading into its 19th year of showcasing world-class tennis, the NASDAQ-100 Open has reached the next echelon in presenting an international sports extravaganza. With \$6.210 million in prize money set for 2003 and all of the top players and media from all corners of the world covering the action every day, the NASDAQ-100 Open has earned its place in the world as the fifth largest tennis tournament in terms of players, prize money and attendance, surpassed only by the Grand Slams.

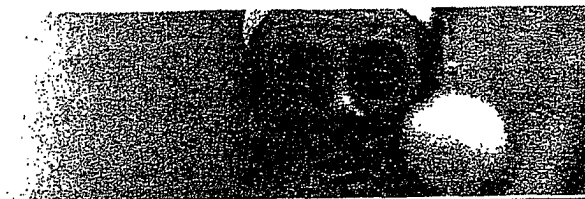
In 2002, the tournament was televised in the United States by CBS, ESPN, and ESPN2, and internationally by ESPN International and many other terrestrial broadcast partners. Each year, television coverage reaches more than 150 countries, radio coverage is broadcast in more than 44 languages, and print media reports are followed by millions and millions of tennis enthusiasts worldwide.

#### Historic Dates & Denotations:

- Butch Buchholz announces at The French Open in May 1983 that plans are underway for a major two-week event for men and women players - the first ever event to feature both men and women in its inaugural year.
- The original name of the tournament was Lipton International Players Championships. It was changed in the fall of 1999 to Ericsson Open and again in 2002 to the NASDAQ-100 Open.
- Thomas J. Lipton Company was title sponsor of the event for 15 years. Ericsson Mobile Phones took over as new title sponsor in the tournament year 2000 and NASDAQ stepped in in 2002.
- Miami has been permanent home to NASDAQ-100 Open since 1987, after one-year stops in Delray Beach at Laver's International Tennis Resort in 1985 and Boca Raton at the Boca West Resort and Club in 1986.
- The first match was played on Feb. 4, 1985. Manuel Maleeva defeated Angeliki Kanellopula in Delray Beach. Maleeva won the first point en route to a 6-3, 6-2 victory.
- In 1985, prize money was \$1.8 million. In 2002 it is \$6,395 million.
- Alan Mills has been tournament referee since 1985. He also is Wimbledon referee.
- The Tennis Center at Crandon Park was dedicated February 13, 1994 to the citizens of Miami-Dade County.
- NASDAQ-100 Open site encompasses more than 35 acres, includes 27 courts - 17 hard, eight clay and two grass courts. It is a public park on a year-round basis.
- Greater Miami was honored as the 1994 Tennis City of the Year by the International Tennis Hall of Fame, located in Newport, RI.
- Al Michaels, one of the voices for ABC's Monday Night Football, was in the broadcast booth for ABC Sports at the first NASDAQ-100 Open.
- Cliff Drysdale has broadcast all 18 tournaments for ESPN.
- The earliest start date for NASDAQ-100 Open was January 27 in 1986 in Boca Raton; the latest final was held on April 2 in 2000.
- In 1997, Tennis Magazine selected the Tennis Center at Crandon Park, home of NASDAQ-100 Open, as the best stadium in Tennis.
- Eight players who have won NASDAQ-100 Open have gone on to secure the No. 1 world ranking for the first time in their career in the same year: Steffi Graf (1987), Mats Wilander (1988), Monica Seles (1990), Jim Courier (1991), Pete Sampras (1993), Andre Agassi (1995), Martina Hingis (1997), and Marcelo Rios (1998).
- In 1998, Marcelo Rios defeated Andre Agassi in the men's final. This victory propelled him to become the first Latin American to reach the world No. 1 ATP Tour ranking in the history of professional tennis' ranking system.
- In 1998, Butch Buchholz announced that Ericsson Mobile Phones acquired the title sponsorship of the tournament beginning in 2000. The Sweden-based mobile phone giant would bow out after only two years because of a downturn in its business.
- In 1999, Venus and Serena Williams met in the final; the first all-sister final since 1884 when Maude and met at the Wimbledon final. Venus won her second straight title by defeating her sister 6-1, 4-6, 6-4.
- In June 1999, Butch Buchholz announces his family will sell the tournament to IMG to ensure its place in history. Included in the announcement is a statement from Buchholz and IMG that there would be no discernable difference in the way the tournament is run.
- On August 30, 2000, the tournament announces it will add a day and be played over 12 days in order to give players more time to recuperate between matches.
- On April 2, 2000, Pete Sampras ties Andre Agassi with most singles titles (3) by defeating Brazil's Gustavo "Guga" Kuerten in a four set epic in front of a boisterous, partisan crowd. Following his win, Sampras said "I can definitely walk out of this tournament feeling real confident and real good about the way things went. Everybody was playing here so it had a Grand Slam atmosphere. The crowd was huge today. I got chills up my spine a number of times."
- On March 31, 2001, Venus Williams defeats Jennifer Capriati in a thrilling three-set final, 4-6, 6-1, 7-6 (4) to win her third title in four years. Tennis Magazine would name that match Best Women's Match of 2001.
- On April 1, 2001, Andre Agassi defeated Jan-Michael Gambill 7-6(7), 6-1, 6-0 in the 2001 NASDAQ-100 Open final, becoming the winningest male champion in NASDAQ-100 Open history with four titles: 1990, 1995, 1996 and 2001. With that win, Agassi secured the distinction of being both the oldest champion (30 years, 337 days) and youngest champion (19 years, 330 days old when he won in 1990) in NASDAQ-100 Open history.



- On February 5, 2002 the tournament enters a new era in it's 18th year, teaming with the NASDAQ-100, an index of 100 of the largest non-financial U.S. and non-U.S. companies listed on the National Market tier of The NASDAQ Stock Market.

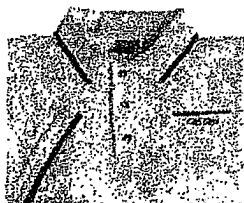


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**NASDAQ-100 Open Logo Polo: \$36.00**  
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100% ringspun cotton pique polo. One-color tipping at collar and cuffs. White/navy. Sizes M, L, XL & XXL.

**NASDAQ-100 Open Logo Polo: \$36.00**  
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100% ringspun cotton pique polo. One-color tipping at collar and cuffs. Baby blue/navy. Sizes M, L, XL & XXL.

**NASDAQ-100 Open Logo Cap (Light Pink):**  
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Page 2 of 2

100% cotton twill washed cap with embroidered logo. Available in light pink.

100% cotton twill washed cap with embroidered logo. Available in avocado.

**NASDAQ-100 Open Fatigue Cap: \$20.00**  
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Denim fatigue cap with hidden Velcro strap and embroidered logo.

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Cotton canvas with toggle adjuster and embroidered logo. Available in khaki.

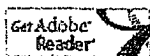
**NASDAQ-100 Open Athletic Cap: \$20.00**  
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Mesh cap with Velcro closure. Available in white with navy embroidered logo and navy bill.

To view the order form, you need Adobe Reader, freely distributed by Adobe Systems.



## **ANNEX E**

Int. Cl.: 35

Prior U.S. Cl.: 101

Reg. No. 922,973

United States Patent and Trademark Office

Registered Oct. 26, 1971

10 Year Renewal

Renewal Term Begins Oct. 26, 1991

**SERVICE MARK  
PRINCIPAL REGISTER**

**NASDAQ**

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. (DELAWARE CORPORATION)  
1735 K STREET, N.W.  
WASHINGTON, DC 20006

FOR LISTING PURPOSES, IN CLASS 101 (INT. CL. 35).

FIRST USE 5-27-1968; IN COMMERCE 5-27-1968.

FOR: LISTING OF SECURITIES FOR QUOTATION FOR SALE OR INFORMATION PURPOSES, IN CLASS 101 (INT. CL. 35).

SER. NO. 72-370,608, FILED 9-14-1970.

*In testimony whereof I have hereunto set my hand  
and caused the seal of The Patent and Trademark  
Office to be affixed on Dec. 17, 1991.*

COMMISSIONER OF PATENTS AND TRADEMARKS

**Int. Cls.: 9, 16, 35, 36 and 38**

**Prior U.S. Cls.: 26, 38, 101, 102 and 104**

**United States Patent and Trademark Office**

**Reg. No. 1,259,277**

**Registered Nov. 29, 1983**

**TRADEMARK  
SERVICE MARK  
Principal Register**

**NASDAQ**

National Association of Securities Dealers, Inc.  
(Delaware corporation)  
1735 K St., NW.  
Washington, D.C. 20006

For: CLOSED CIRCUIT APPARATUS FOR GENERATION AND DISSEMINATION OF SECURITIES INFORMATION, AND CLOSED CIRCUIT CRT TERMINALS FOR DISPLAY OF SECURITIES INFORMATION AND COMPUTER PROGRAMS FOR ACCESS TO SECURITIES INFORMATION, in CLASS 9 (U.S. Cl. 26).

First use Feb. 1971; in commerce Feb. 1971.

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First use Feb. 1971; in commerce Feb. 1971.

For: CLOSED CIRCUIT COMMUNICATION AND COMMUNICATIONS NETWORKS FOR TRANSMISSION OF SECURITIES INFORMATION, QUOTATION, DATA, AND INDICES, in CLASS 38 (U.S. Cl. 104).

First use Feb. 1971; in commerce Feb. 1971.

Ser. No. 385,774, filed Sep. 15, 1982.

J. TINGLEY, Examining Attorney